

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number 09/930,786

Filing Date 08-15-2001

First Named Inventor STEPHEN B SLUTSKY

Art Unit 3628

Examiner Name PWU, JEFFREY C

Attorney Docket Number 060021-375602

Sheet

1

of

1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number -Kind Code ² (if known)		
mm		US-6,018,722	01-25-2000	Ray et al.
mm		US-5,978,778	11-02-1999	O'Shaughnessy, James P.
mm		US-5,784,696	07-02-1998	Melnikoff, Meyer

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			

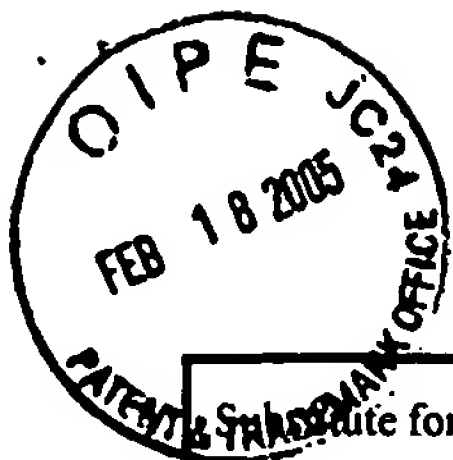
NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
Examiner Signature <i>Ngan Nguyen</i>			Date Considered 4/20/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/930,786
				Filing Date	August 15, 2001
				First Named Inventor	Stephen B. Slutsky
				Art Unit	3628
				Examiner Name	Nguyen, NGA B.
Sheet	1	of	1	Attorney Docket Number	60021-375602

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
mm	1	US-6,430,542	08-2002	Moran, William J.
mm	2	US-5,918,217	06-1999	Maggioncalda et al.
mm	3	US-5,819,263	10-1998	Bromley et al.
mm	4	US-6,012,043	01-2000	Albright et al.
	5	 		
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		 			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
mm	1	"Personal financial software", The CPA Journal, New York, September 1999, Vol.69, Iss.9; pg 40, 7 pgs, Proquest, describes numbers of software packages on the market for providing automated coaching for a financial modeling.	
	2	 	

Examiner Signature <i>Nguyen</i>	Date Considered 4/20/05
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